UNITED
NATIONS

A

General Assembly

Distr.
GENERAL

A/AC.241/69
11 November 1996

ENGLISH
Original : ENGLISH

INTERGOVERNMENTAL NEGOTIATING COMMITTEE FOR
THE ELABORATION OF AN INTERNATIONAL CONVENTION
TO COMBAT DESERTIFICATION IN THOSE COUNTRIES
EXPERIENCING SERIOUS DROUGHT AND/OR
DESERTIFICATION, PARTICULARLY IN AFRICA
Tenth session
New York, 6-17 January 1996
Agenda item 5

REVIEW OF THE SITUATION AS REGARDS EXTRABUDGETARY FUNDS

Note by the Secretariat

CONTENTS

		Page
I.	INTRODUCTION	2
II.	SUMMARY OF UTILIZATION OF UN REGULAR BUDGET RESOURCES	2
III.	TRUST FUND	3
IV.	SPECIAL VOLUNTARY FUND	9
V.	SECRETARIAT STAFFING SITUATION	10
	APPENDICES	12

GE.96-64298

I. INTRODUCTION

1. By its Resolution 47/188 of 22 December 1992, the General Assembly established a Trust Fund for the INCD process and a Special Voluntary Fund, both administered by the head of the Ad Hoc Secretariat under the authority of the Secretary-General of the United Nations (UN). These funds were established with a view:

(a) to supplement limited regular budget resources in financing the negotiating process; and

(b) to assist developing countries affected by desertification and drought, in particular the least developed countries, to participate fully and effectively in the negotiation process.

2. Subsequently, Resolution 49/234 of 23 December 1994, adopted by the 49th session of the General Assembly, extended the mandate of the two funds for the interim period. It also amended the terms of reference of the INCD Trust Fund to take into consideration the recommendations of the Committee regarding the participation of non-governmental organisations (NGOs) in its sessions.

3. This document reviews activities during the current 1996-1997 biennium through 30 September 1996 (latest official report available at time of document submission) financed from voluntary contributions to the INCD Trust Fund and Special Voluntary Fund. It also summarizes the Secretariat's utilization of UN regular budget resources for the same period. Following requests made by some delegations during the ninth INCD Session, the document includes the Secretariat's planned activities for the last quarter of 1996; planned activities for the year 1997 will be provided as Addendum 1 to this document. Finally, this document likewise provides information on outstanding pledges and contributions received for both INCD funds from 1993 to 1996.

II. REGULAR BUDGET RESOURCES

4. Regular budget resources are used essentially to cover expenses related to staff, travel, consultancies and communications, as well as standard operating expenses such as maintenance and supplies. Appendix A shows the Secretariat's total regular budget allotments and expenditures for the current biennium.

5. For the year 1996 an allotment of US$ 932,000 has been issued to cover the salaries and related costs of 5 professional and 4 general service posts (1 D-2, 1 D-1, 1 P-5, 1 P-4, 1 P-3 and 4 GS), the same number of posts as in the previous biennium.

6. For official travel of staff, an allotment of US$ 126,600 has been provided in 1996. These resources have been used for the Secretariat staff to participate in the 9th session of the INCD, to attend/represent the Secretariat at intergovernmental and inter-agency meetings, to attend workshops and seminars relating to desertification, and to consult with Headquarters and to service the General Assembly.

7. Consultancy resources made available during this period amount to US$ 389,800. These have been mainly used to strengthen the Secretariat's coordination and facilitation role in the regions covered by the Annexes of the Convention. Consultants were also employed for the organization and promotion of awareness and information campaigns, as well as for ad hoc activities for which the relevant expertise was not available within the Secretariat.

III. TRUST FUND

8. The General Assembly, by its Resolution 47/188, paragraph 13, decided that the "negotiating process shall be funded through existing UN budgetary resources, without negatively affecting its programmed activities, and through voluntary contributions to a Trust Fund established specifically for that purpose for the duration of the negotiations." It also urged "governments, and regional economic integration organizations to contribute generously to the Trust Fund."

9. The situation concerning contributions and outstanding pledges to the Trust Fund is shown in Appendix C.

10. Trust Fund expenditures incurred to-date in 1996 totalling some US$1,760,900 (inclusive of 13% programme support costs) are summarized by objects of expenditures in Table 1 of Appendix D.

11. The Secretariat has established contacts and concluded agreements with several countries, as well as with agencies of the United Nations system and intergovernmental organizations, to enlist their support for specific extrabudgetary activities in the following four fields, which are summarized in the sections below.

(a) Organization of national awareness seminars and other preparatory activities, preparatory to the formulation of national action programmes

(b) Subregional and regional consultation processes preparatory to the formulation of subregional or regional programmes of action

(c) Public information

(d) Involvement of grass-roots organizations in the implementation of the Convention

12. Costs officially recorded in the accounts as at September 1996 which are attributable to each of the above activities are provided below. However, it should be borne in mind that these attributed costs constitute only part of the total costs of the activities since the system of global reporting of other relevant costs (e.g. staff costs and communication costs) does not allow for the clear apportionment of all costs to each of the activities.

A. Organization of National Awareness Seminars and Other Preparatory Activities at the National Level

Recorded costs January-September 1996:	$199,700
Plus 13% Programme support costs (PSC)	$ 26,000
Total recorded 1996 expenditures	$225,700

13. The Secretariat has continued to facilitate the organization of national awareness seminars concerning the future implementation of the Convention and actions during the interim period. By promoting contacts between the various partners working at the national level, these seminars will facilitate the integration into the implementation process of all interested national stakeholders, including institutions or organizations that may not have yet been involved in the process. Each seminar can be organized at a cost of roughly US$ 8,000.

14. As presentation and exchange fora, these seminars also provide the opportunity to bring together important actors in civil society, as well as field representatives of donor agencies. Using contributions to the trust fund and in collaboration with competent international or regional organizations, the Secretariat has been able to facilitate the holding of these seminars in the following countries during the first 9 months of 1996:

Africa:	*January*	Angola, Madagascar
	May	Djibouti, Mozambique, Swaziland, Gambia (financed by the Permanent Interstate Committee for Drought Control in the Sahel, CILSS)
	June	Ghana
	July	Central African Republic
Asia:	*March*	Iran, Turkmenistan
	May	Jordan
	June	China
Latin America and the Caribbean:	*January*	Peru (financed by the Office to Combat Desertification and Drought, United Nations Development Programme (UNDP)
	March	Bolivia (financed by UNDP)
	April	Argentina (financed by UNDP), Cuba
	September	Haiti, Santo Domingo

15. Moreover, to support other preparatory activities at the national level, the Secretariat has effected a grant payment of $20,000 to CILSS for the elaboration of national action programmes in CILSS Member States countries.

16. Costs incurred in the current biennium through September 1996 in connection with the organization of national awareness seminars and other preparatory activities at the national level include $135,300 in grant payments and some $64,400 for the travel of INCD staff to service the seminars.

17. During the period October to December 1996, the Secretariat will facilitate additional seminars, at an estimated cost of $56,000 in grant payments, $4,000 in consultancy fees and travel (to help organize/prepare background documentations for the seminars), and some $25,500 in staff travel, as follows:

Africa:	*November*	Libya (financed by the Libyan Government)
	December	Algeria, Cameroon
Asia:	*October*	Syria, Kazakstan, Armenia, Myanmar
	November	Yemen

18. If sufficient resources are made available, the objective would be to eventually hold such seminars in all affected countries that request them in Africa, Asia, Latin America and the Caribbean.

19. During the remainder of 1996, the Secretariat will also promote national preparatory activities in Africa beyond awareness seminars. The following activities are planned:

(a) The Secretariat will transfer the second instalment of $10,000 as a grant payment to the Eritrean Agency for the Environment to prepare activities related to the formulation of the national action programme in Eritrea;

(b) A workshop for fifteen African Focal Points for the Convention is scheduled from 18-22 November 1996 in Nouakchott, Mauritania. The purpose of the meeting is to clarify some important issues regarding Convention implementation. Participants will review processes initiated in the concerned countries and experiences gained since the adoption of the Convention and the Resolution on Urgent Action for Africa. This workshop will be a major occasion to compare experiences in the work done so far. It will help to seek concerted solutions to the problems encountered at the national level during the interim period. The current estimated cost of holding this workshop is some $116,600; and

(c) It is also planned that Senegal, Mauritania and Lesotho will launch information campaigns on the implementation of the Convention for national/local populations. Financial assistance to these activities would amount in total to some $50,000 in grant payments.

B. Subregional and regional consultation processes

Recorded costs January-September 1996:	$355,200
Plus 13% Programme support costs	$ 46,200
Total recorded 1996 expenditures	$401,400

20. Regional and sub-regional activities aim to stimulate awareness of the Convention and to facilitate the consultative processes it mandates. Such activities can work both at the horizontal level, in promoting regional cooperation, and at the vertical level, in encouraging national level activities. The Secretariat involvement in this process aims at ensuring worldwide coherence and compatibility with the Convention objectives in such activities.

Subregional consultation processes

21. Subregional consultation processes take the form of meetings organized by a relevant subregional organization acting as coordinating agency with the support of the Secretariat. They bring together representatives of the governments of a given subregion, donor countries and relevant intergovernmental and non-governmental organizations to discuss possibilities for the implementation of the Convention in the subregion, as well as action taken during the interim period. They are often organized in conjunction with a workshop that gathers media professionals of the same subregion.

22. These meetings and workshops provide all parties involved with an opportunity to increase their level of knowledge about the Convention and to formulate a clear and common view of their obligations and respective roles. These fora also allow participants to be informed of the latest developments regarding the Convention and their impact on activities in the subregion.

23. The subregional consultative process are well underway in four sub-regions of Africa. During the last quarter of 1996, the Secretariat will facilitate the elaboration of Sub-Regional Action Programmes (SRAPs) for Southern Africa, Eastern Africa and the Maghreb region through grant payments to the Southern African Development Community (SADC), the Intergovernmental Authority on Development (IGAD) and the Arab Maghreb Union (UMA).

24. The Secretariat also facilitated the organization of a subregional conference for West Asia. The purpose of this Conference was to develop interstate cooperation among countries of the sub-region and encourage follow-up at the national level. It was organized in collaboration with the Secretariat of the Gulf Cooperation Council (GCC), the International Center for Agricultural Research in the Dry Areas (ICARDA), the United Nations Environment Programme's (UNEP's) Regional Office for West Asia, UNDP and the Islamic Development Bank. It took place in Abu Dhabi, 23-25 June 1996.

25. Similarly, the Secretariat facilitated, with the support of UNEP and UNDP, the organization of the first subregional meeting for the Central American and Caribbean region, held in Santo Domingo, 18-20 April 1996. The objective of the meeting was to sensitize the countries of the subregion to the benefits to be derived from the Convention and to initiate a process of cooperation among them with a view to its implementation.

Regional consultation processes

26. In Asia, a regional conference was held in New Delhi from 21-23 August 1996. It aimed at identifying the framework and priority areas of the implementation strategy for the Regional Implementation Annex for Asia. An Africa-Asia Forum on Desertification was also held in Beijing from 5-11 August 1996 to assist African countries in designing national programmes to combat desertification and/or to mitigate the effects of drought, and Asian countries to clarify and refine their policies in these respects.

27. For Latin America and the Caribbean, the first regional conference was held in Buenos Aires, 24-26 January 1996. It was organised with the support of UNDP and UNEP. This conference laid the groundwork for the implementation of the Convention at the national and regional levels and agreed on measures of cooperation in the region. A follow-up meeting was convened in Mexico City on 17-19 June 1996, with the support of UNDP, UNEP and the Food and Agriculture Organization of the United Nations (FAO). Work is also advancing on development of a regional coordinating mechanism for the Latin American and the Caribbean region

28. Costs incurred in the current biennium through September 1996 in connection with the above sub-regional and regional activities include $105,800 in grant payments to help defray local logistical costs, $22,000 in meeting expenses for the first regional conference held in Buenos Aires, $12,500 in consultancies, some $131,100 for the travel of participants and an estimated $83,800 for the travel of Secretariat staff to support the different activities.

29. For the last quarter of 1996 it is estimated that some $122,000 would be required for grants related to the SRAP process, $53,500 for travel of Secretariat staff and $130,600 for consultants' fees and travel related to: a) the Regional Action Programme, as well as sub-regional and regional meetings for the Latin America and the Caribbean region; b) the formulation of a Regional Action Programme for Asia in preparation for a Ministerial meeting in Beijing in 1997; and c) the launching of the formulation process of the Regional Action Programme for Africa.

C. Public information

Recorded costs January-September 1996:	$48,900
Plus 13% Programme support costs	$ 6,400
Total recorded 1996 expenditures	$55,300

30. An important activity of the Secretariat is to raise public awareness of the problem of desertification and to promote the Convention and its implementation. During recent sessions of the Committee, the INCD emphasized the need to pursue and strengthen awareness raising activities.

31. The General Assembly proclaimed 17 June as "*World Day to Combat Desertification and Drought*", (resolution A/49/115). Observance of this day provides a focus for Secretariat public information activities, which is particularly important in the period leading up to the first Conference of the Parties (COP).

32. In order to fully maximize and strengthen implementation activities, the Secretariat continues to seek ways for the Convention to be promoted and made more widely known, in both developed and developing countries, among policy and decisions makers in Governments, NGO's, journalists and media professionals, including TV and radio, and specialized interest groups such as the business community. In this regard the Secretariat has done the following:

Publications and information

The Secretariat produced a Convention Kit and the simplified version of the Convention in a booklet entitled "Down to Earth", both of which continue to be widely distributed in a number of languages.

The CCD newsletter, launched in May 1996 to keep interested audiences and CCD participants well informed of the various activities taking place in connection with the Convention, continues to be published every three months in English and French.

In order to gain broader attention and media coverage in periodicals, editorials, magazines, major newspapers, and flight magazines, efforts were made, especially on the occasion of the deposit of the fiftieth instrument of ratification, to target the above-mentioned media. New issues included feature stories, news items and opinion pieces, a brief history of the Convention, highlights of major issues, reports of major group activities and information on various other activities which are being undertaken.

The Secretariat also has a direct link to the Internet and maintains a World-Wide Web site. Currently only in English, this site will be duplicated in French in the coming months. Other improvements in design and content are also foreseen.

Events: In support of World Day to Combat Desertification, the Secretariat screened the movie "Po di Sangui", which was filmed in Guinea Bissau. It has strong links to the issue of desertification and was a major success at the 1996 Cannes Film Festival. In addition, a special UN in Action documentary on desertification was broadcasted by CNN prior to 17 June 1996.

Promotion: As part of its promotional campaign, the Secretariat printed special CCD T-shirts. These were used and distributed on the occasion of World Day to Combat Desertification.

33. Costs incurred in the current biennium through September 1996 in connection with the above public information activities include $7,500 for translation/editing, $37,000 for printing and binding, and $4,400 for advertising and promotion.

34. During the last quarter of 1996, it is planned:

(a) to produce, in collaboration with UNDP's Azimuth Programme, a video report to be filmed in India illustrating causes of poverty due to desertification and how this can be eradicated, and inversely, causes of desertification due to poverty. This video will be used for world-wide distribution and is planned to be translated into different languages. The Secretariat share of the video production is $25,000;

(b) to write articles building up to the first COP and to raise awareness of the Convention especially at the time of its entry into force (estimated cost $20,000);

(c) to publish a comics booklet on desertification aimed primarily at young readers, using humour and simple language to explain the problems of desertification and the efforts made to find a solution. This is going to be published initially in English, French and Spanish (estimated cost $20,000);

(d) to print the Down to Earth booklet and the Convention kits in Portuguese and to re-print the Convention kits in French (estimated cost $10,200);

(e) to hire the services of a professional photographer needed for the production of photographs on desertification to be used in media kits and events relating to the Convention (estimated cost $6,000);

(f) to produce and distribute 5,000 pins on the occasion of the entry into force of the Convention on 26 December 1996, (estimated cost $5,000);

(g) to publish the 3rd edition of the CCD newsletter (estimated cost $3,500); and

(h) to start preparatory work on setting up a multimedia library which will gather and make easily available for consultation and reference major relevant documents and publications (on print as well as on video) produced by the Secretariat as well as by other authors, concerning the many aspects and fields related to desertification.

D. Involvement of grass-roots organizations in the implementation of the Convention

Recorded costs January-September 1996:	$375,700
Plus 13% Programme support costs	$ 48,800
Total recorded 1996 expenditures	$424,500

35. The Secretariat also promotes grass-roots involvement in the implementation of the Convention by facilitating and coordinating activities related to the involvement of affected communities in the implementation of the Convention, as well as by promoting initiatives for dissemination of information on the Convention implementation. Such involvement is promoted mainly in collaboration with, and primarily in the form of grant payments to, inter-governmental organizations (IGOs) and non-governmental organizations (NGOs). Grants are paid to help defray the costs of Convention-related activities and to help enhance NGO capacity in the field of implementation of the Convention.

36. Activities and projects undertaken which received funding from the trust fund include:

(a) sub-regional NGO meetings on best practices to enhance NGO participation and to involve affected communities in the implementation of action plans in West, East, Central and Southern Africa;

(b) an Asian regional NGO conference on the implementation of the Convention and on enhancing cooperation and involvement of Asian NGOs, pastoral communities, governments and science and technology institutions, held in Islamabad, Pakistan, 27-30 January 1996;

(c) an NGO International Workshop on Partners in the Implementation of the Convention in the Mediterranean Basin held in Tunisia, 28-30 March 1996;

(d) three activities initiated in Mali, Burkina Faso, and India concerned with enhancing the role of women in the implementation of the Convention and translating relevant parts of the Convention into local languages;

(e) a project for the introduction of the Convention's participatory approach in the work programme of African intergovernmental organizations;

(f) preparation of information material on the Convention addressed to Northern countries; and

(g) assistance to NGOs to promote World Day to Combat Desertification and Drought through media events in their regions.

37. In addition, NGO participation in INCD sessions has also been facilitated through contributions to the trust fund.

38. Costs incurred in the current biennium through September 1996 in connection with the above grass-roots organizations' activities include $10,200 in consultants' fees and travel in connection with the preparation of documentation for a CILSS/NGO meeting, $193,300 for the travel of resource persons from NGOs, $12,200 in staff travel to NGO/IGO activities, and $160,000 in grant payments for the above activities.

39. In the last quarter of 1996, activities planned under this heading include:

(a) sub-regional NGO conferences to inform communities of the Convention and to explore possibilities of cooperation among NGOs and Governments in the region (Central Asian region - Kyrgyzstan, November and West African region - Mauritania, December).

(b) regional NGO meetings to raise awareness of the Convention, to strengthen the involvement of European communities in its implementation and to discuss partnerships among European and Southern NGOs (European region - Belgium, October; Euro-Mediterranean region - France, December).

(c) international NGO fora to promote co-operation among NGOs, to review experiences and to discuss implementation of the Convention (USA - San Francisco, November and India - Hyderabad, December).

(d) a two-day series of seminars with representatives of the European Commission and Members of the European Parliament, representatives from the African, Caribbean and Pacific States Secretariat and permanent representatives of the European Union Member States based in Brussels, to further elaborate and discuss the role of European countries in the implementation of the Convention (Brussels, Belgium, 5-6 December);

(e) initiation of pilot projects (forest farm to be managed by high school students and a quality forage farm in the Kubqui desert) to establish long-term awareness in Mongolia on the importance and urgency of combatting desertification;

(f) production of a special edition of "IMPACT", the newsletter of the Climate Africa Network, which will elaborate on the linkages between climate change and desertification, examining the relevance of the second assessment report of the IPCC to desertification; and

(g) a pilot project concerned with enhancing the role of women in the implementation of the Convention in Niger over a duration of 2 years.

40. The above last quarter activities would cost an estimated $17,000 in staff travel and some $169,500 in grant payments.

41. Taking into account the costs of the various projects and activities envisioned during this biennium as discussed above, and given the current status of the Trust Fund, the INCD may wish to call upon potential donors to contribute to the Fund and give further guidance to the Executive Secretary concerning its future use.

IV. SPECIAL VOLUNTARY FUND

Recorded costs January-September 1996:	$ 948,500
Plus 13% Programme support costs	$ 123,300
Total recorded 1996 expenditures	$1,071,800

42. The status of contributions received and outstanding pledges for the Special Voluntary Fund is shown in Appendix E. A short table on the current biennium expenditures reported under this Fund is reflected in Table 2 of Appendix D.

43. During the first nine months of the current biennium, some $475,500 in costs were incurred to finance the travel of 90 participants from 66 eligible countries to the Eighth INCD Session (Geneva, 5-16 February) and some $473,000 to finance the travel of 100 participants from 67 eligible countries to the Ninth INCD Session (New York, 3-13 September).

44. For the remaining quarter of 1996, it is estimated that some $18,000 would be required to finance the travel of participants to the Consultative Group Meeting on the Benchmarks and Indicators for the Implementation of the Convention (Geneva, 13-14 November).

45. After deducting total estimated 1996 expenditures of US$1,092,200 (inclusive of the 1996 13% programme support costs) and after providing for the required 1997 programme support costs and operating cash reserve, a balance of approximately US$1,100,000 remains available for 1997. In allocating the remaining funds, priority will continue to be given to least developed countries affected by drought and desertification. As in the past, the Secretariat will circulate during the Tenth Session, as Addendum 2 to this document, a list of countries whose participation has been financed through the Fund together with an up-dated status of contributions and outstanding pledges received for the Trust Fund and the Special Voluntary Fund.

46. There are two budget scenarios for the Special Voluntary Fund, depending on the level of contributions and on whether there would be another session after the Tenth Session. The first would fund the travel of at least one representative from affected developing countries to one (or to possibly two) INCD session(s) in 1997 and to the First Conference of the Parties, with two representatives from affected least developed countries. The second, assuming insufficient contribution levels, would cover only one representative from least developed affected countries.

47. In light of the above and given the current status of Special Voluntary Fund, the INCD may wish to call upon potential donors to contribute to the Fund and give further guidance to the Executive Secretary concerning its future use.

V. SECRETARIAT STAFFING SITUATION

48. The staffing situation of the Secretariat as of 30 September 1996 is shown in Appendix F. It does not include consultants or temporary staff hired to assist the Secretariat during sessions of the Committee.

49. The following information is of relevance to the Secretariat staffing situation:

(a) Switzerland continues to fund the service of one professional staff member;
(b) WMO continues to second one professional to the Secretariat and has contributed to the funding of one general service staff member;
(c) Canada continues to fund the post of Legal Advisor;
(d) Sweden has financed one professional staff member until 15 September 1996 and continued funding through end December 1997 has been requested from the Swedish Government;
(e) Part of the approved IFAD contribution of US$ 400,000 to the Trust Fund is used to finance an Associate Expert;
(f) Italy has seconded a junior professional officer (JPO) to the Secretariat, who will work for one year (with a possibility of an additional year) in the field of media and communications;
(g) One temporary staff member has been recruited using Trust Fund resources to provide secretarial assistance to professional staff members;
(h) The Secretariat will be able to recruit two temporary general service secretarial staff for 3 months each during the last quarter of 1996 with funding from the United Nations' Support Account.

50. Administrative support to the Secretariat continues to be provided by a Geneva based Administrative Unit of the Department for Policy Coordination and Sustainable Development (DPCSD) consisting of one professional officer and three general service staff.

51. Computer system support, on the other hand, has, up to now, been provided by staff members of the permanent Secretariat of the United Nations Framework Convention on Climate Change (UNFCCC) (i.e. by its System Manager, a Computer System Support Officer, and a Software Development Support Officer). However, with the physical move of the UNFCCC Secretariat to Bonn almost completed, the INCD Secretariat has now to finance its own computer system support staff beginning in the last quarter of 1996, for which additional funding would be required.

52. The staffing distribution of the Secretariat is shown in Appendix G which summarizes the main fields of activity of each staff member and which also provides the sources of funding for each corresponding post. Due to the minimal level of staffing, it is important to recognize that staff members are often called upon to perform other duties, depending on the Secretariat's workload at any given time.

53. Finally, it should be noted that contractual arrangements relating to the positions financed from the Trust Fund continue to be of a short-term nature owing to the uncertainty of funding, and that the quality and level of service that can be provided by the Secretariat to the INCD is influenced and limited by the level and timely receipt of contributions to the Trust Fund for Secretariat support.

APPENDIX A

REGULAR BUDGET

TOTAL ALLOTMENT AND EXPENDITURES INCURRED BY OBJECT OF EXPENDITURE (US DOLLARS) 1/

BIENNIUM 1996-1997

OBJECT OF EXPENDITURE	TOTAL ALLOTMENT 1996 2/	TOTAL EXPENDITURES 1996 1/	BALANCE OF ALLOTMENT 1996
010 Established Posts 3/	702,100.00	583,655.43	118,444.57
040 Consultants	389,800.00	381,676.15	8,123.85
050 Overtime & night differential	4,700.00	360.55	4,339.45
100 Common staff costs 3/	224,700.00	229,577.40	(4,877.40)
113 Representation allowance	500.00	450.00	50.00
242 Other official travel of staff	126,600.00	112,991.09	13,608.91
434 Rental and maintenance of data processing equipment	4,800.00	3,237.43	1,562.57
440 Communications	35,800.00	37,107.26	(1,307.26)
451 Official Functions	2,900.00	-	2,900.00
490 Miscellaneous Services	8,600.00	1,350.46	7,249.54
500 Supplies and materials	7,700.00	923.25	6,776.75
610 Office furniture and equipment	4,200.00	-	4,200.00
TOTAL	1,512,400.00	1,351,329.02	161,070.98

1/ Based on the United Nation's Allotment Report as at 30 September 1996

2/ Only allotment for the first year of the biennium has been issued

3/ Includes some salary charges related to previous biennium: Established posts US$36,846.84 and Common staff costs US$12,843.05

APPENDIX B

**CONTRIBUTIONS AND OUTSTANDING PLEDGES
TO THE TRUST FUND FOR SUPPORTING THE NEGOTIATING PROCESS
AS AT 24 OCTOBER 1996
(US DOLLARS)**

Donor country/ Organisation	CONTRIBUTIONS RECEIVED					Outstanding pledges
	1993	1994	1995	1996	Total	
Antigua/Barbuda	-	-	-	1,250	1,250	-
Australia	34,915	86,160	-	50,000	171,075	-
Canada	134,982	134,380	147,620	152,836	569,818	8,266
China P. R.	-	-	-	50,000	50,000	-
Cyprus	1,000	-	-	-	1,000	-
Denmark	-	200,000	244,410	453,000	897,410	200,000
Finland	-	89,522	-	-	89,522	-
France	105,542	15,842	-	-	121,384	-
Germany	-	-	-	-	-	197,368
Greece	-	5,000	-	-	5,000	-
Israel	-	-	3,158	-	3,158	-
Italy	-	-	-	46,919	46,919	165,016
Japan	-	123,300	505,330	587,185	1,215,815	-
Kenya	-	5,000	-	-	5,000	-
Netherlands	555,139	-	-	478,750	1,033,889	490,840
Norway	187,971	62,978	255,200	254,562	760,711	-
Spain	-	-	-	175,345	175,345	260,000
Sweden	-	128,287	134,000	-	262,287	-
Switzerland	125,310	468,884	535,380	235,250	1,364,824	57,400
Tunisia	-	2,000	-	-	2,000	-
U.S.A.	200,000	150,000	-	15,000	365,000	30,000*
IFAD	-	-	200,000	200,000	400,000	-
EC	-	-	64,875	-	64,875	-
WMO	-	-	32,468	31,515	63,983	-
UNEP	300,000	50,000	80,000	-	430,000	-
TOTAL	**1,644,859**	**1,521,353**	**2,202,441**	**2,731,612**	**8,100,265**	**1,408,890**

* Deposited with UNEP and will be transferred to the INCD Trust Fund

APPENDIX C

TOTAL EXPENDITURES INCURRED BY OBJECT OF EXPENDITURE (US DOLLARS) *

Biennium 1996-1997

(as at 30 September 1996)

Table 1. TRUST FUND FOR SUPPORTING THE NEGOTIATING PROCESS

Object code	Description	Expenditure
030	General temporary assistance	428,328.34
040	Consultants' fees and travel	22,661.77
060	Ad hoc expert groups	1,917.84
080	Personal service contracts	24,240.00
210	Travel of participants and representatives	324,338.60
242	Other official travel of staff	252,003.37
330	External translation/interpretation contracts	13,074.91
350	External printing and binding	37,142.23
391	Advertising and promotion	4,407.50
400	General operating expenses	31,113.63
500	Supplies and materials	3,023.97
830	Grants and contributions	416,044.65
	Sub-total expenditures	1,558,296.81
	13% UN programme support costs	202,578.59
	Total expenditures	**1,760,875.40**

Table 2. SPECIAL VOLUNTARY FUND

Object code	Description	Expenditure
210	Travel of participants and representatives	948,507.03
	13% UN programme support costs	123,305.91
	Total expenditures	**1,071,812.94**

* Based on the United Nation's Allotment Report as at 30 September 1996

APPENDIX D

CONTRIBUTIONS AND OUTSTANDING PLEDGES TO THE SPECIAL VOLUNTARY FUND RECEIVED AS AT 24 OCTOBER 1996 (US DOLLARS)

	CONTRIBUTIONS RECEIVED					
Donor country/ Organisation	**1993**	**1994**	**1995**	**1996**	**Total**	**Outstanding pledges**
Antigua/Barbuda	-	-	-	1,250	1,250	-
Australia	-	65,735	-	100,000	165,735	-
Austria	-	10,000	-	20,000	30,000	-
Benin	-	1,000	-	-	1,000	-
Canada	77,662	-	86,095	-	163,757	-
Denmark	199,985	-	-	200,000	399,985	200,000
France	103,999	64,540	-	-	168,539	-
Germany	185,827	-	41,634	-	227,461	197,368
Greece	-	5,000	-	-	5,000	-
Ireland	-	71,500	16,391	15,962	103,853	-
Italy	90,744	-	-	46,919	137,663	-
Japan	-	300,000	200,000	300,000	800,000	-
Korea	-	50,000	-	-	50,000	-
Malaysia	-	-	10,000	-	10,000	-
Netherlands	-	-	-	100,000	100,000	100,000
Norway	199,985	226,359	200,000	254,562	880,906	-
Panama	-	-	500	-	500	-
Portugal	-	15,000	-	-	15,000	-
Spain	42,024	19,985	26,479	-	88,488	-
Sweden	262,280	-	150,128	-	412,408	-
Switzerland	66,013	-	70,000	-	136,013	-
United Kingdom	111,727	-	-	-	111,727	-
U.S.A.	50,000	-	-	-	50,000	-
EC	-	-	64,875	-	64,875	-
TOTAL	**1,390,246**	**829,119**	**866,102**	**1,038,693**	**4,124,160**	**497,368**

APPENDIX E

CCD SECRETARIAT STAFFING SITUATION AS OF 30 September 1996

Category	Regular Budget	Extrabudgetary resources (Trust Fund, assignment, JPO)		Total
D-2	1	0		1
D-1/L-6	1	0		1
P-5/L-5	1	1 1 1	Financed by Canada Financed by Switzerland Assigned from WMO	4
P-4/L-4 *	1	0		1
P-3/L-3	1	1	Financed by Sweden	2
P-2/L-2	0	1 1	Financed by IFAD JPO from Italy	2
Total D/P & L	**5**	**6**		**11**
General service	4	1 1	 Financed by WMO until August 1996	6
Grand total	**9**	**8**		**17**

* Post obliged to leave vacant from June 1996 (following promotion of former incumbent to P-5) due to the UN financial crisis. Request for re-use of post beginning November 1996 has been requested.

APPENDIX F

Staffing Distribution of the Interim Secretariat
(As of 30 September 1996)

Office/Functional title	Level of Post /Funding
Office of the Executive-Secretary	
Executive Secretary	D-2 1/
Secretary	G-5 1/
Administrative Assistant	G-4 1/
INCD Support/Legal Unit	
Principal Officer	D-1 1/
Legal Adviser	L-5 2/
Legal Expert	L-3 2/
Secretary	G-3 2/
External, Public and NGO Affairs Unit	
External Relations Officer	P-3 1/
Associate Expert	L-2 3/
External Relations Assistant	G-6 1/
Information Assistant	G-5 1/
Action Facilitation Unit	
Policy/Programme Coordinator	P-5 1/
Adviser	P-5 4/
Special Adviser	L-5 2/
Programme Officer (vacant as from June 1996)	P-4 1/
Associate Expert	L-2 2/
Clerk	G-3 2/
Administration	
Administrative Officer	P-4 5/
Administrative Assistant	G-6 5/
Administrative Assistant	G-6 5/
Administrative Clerk	G-3 6/
Computer System Support	
System Manager	P-4 7/
Information System Support Officer	P-2 7/
Software Support Officer	P-2 7/

1/ Funded from the UN Regular Budget

2/ Financed from the Trust Fund

3/ JPO seconded by the Italian Government

4/ On assignment from WMO

5/ DPCSD staff funded from the UN Regular Budget

6/ Charged against the United Nations' Support Account

7/ Staff member of the UNFCCC Secretariat